

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of

Kazunori HORIKIRI; Hitoshi ABE; Yusuke KANO;  
Hirotake KUDO

Application No.: New U.S. Patent Application

Filed: December 14, 2001

Docket No.: 111420

For: INFORMATION NOTIFYING METHOD AND APPARATUS

INFORMATION DISCLOSURE STATEMENTDirector of the U.S. Patent and Trademark Office  
Washington, D.C. 20231

Sir:

Pursuant to 37 CFR §1.56, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO-1449. Unless otherwise indicated herein, one copy of each reference is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

- ☒ 1. This Information Disclosure Statement is being filed (a) within three months of the U.S. filing date of this non-CPA application, OR (b) before the mailing date of a first Office Action on the merits in the present application. No certification or fee is required.
- ☒ 2. A concise statement of the relevance of the non-English language references appears in the Appendix attached hereto.

Respectfully submitted,

A handwritten signature in cursive script, appearing to read "James A. Oliff".

James A. Oliff  
Registration No. 27,075Thomas J. Pardini  
Registration No. 30,411

JAO:TJP/kaf

Date: December 14, 2001

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AUTHORIZATIONPlease grant any extension  
necessary for entry;Charge any fee due to our  
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**NONPROVISIONAL PATENT APPLICATION**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**OLIFF & BERRIDGE, PLC**  
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Attorney Docket No.: 111420

Date: December 14, 2001

**BOX PATENT APPLICATION**

Customer Number: 25944

**NONPROVISIONAL APPLICATION TRANSMITTAL**  
**RULE §1.53(b)**

Director of the U.S. Patent and Trademark Office  
 Washington, D.C. 20231

Sir:

Transmitted herewith for filing under 37 C.F.R. §1.53(b) is the nonprovisional patent application

For (Title): INFORMATION NOTIFYING METHOD AND APPARATUS  
 By (Inventors): Kazunori HORIKIRI; Hitoshi ABE; Yusuke KANO; Hirotake KUDO

JC979 U.S. LPTO  
 10/014531  
 12/14/01

- ☒ Formal drawings (Figs. 1-8; 8 sheets) are attached.
  - ☐ Use Figure \_\_\_\_\_ for front page of Publication.
- ☒ A Declaration and Power of Attorney is filed herewith.
- ☒ This patent application is assigned to FUJI XEROX CO., LTD.
  - ☒ The executed Assignment is filed herewith.
- ☒ An Information Disclosure Statement is filed herewith.
- ☐ Entitlement to small entity status is hereby asserted.
- ☐ A Preliminary Amendment is filed herewith.
- ☐ Please amend the specification by inserting before the first line the sentence --This nonprovisional application claims the benefit of U.S. Provisional Application No. \_\_\_\_\_, filed \_\_\_\_\_--
- ☒ Priority of foreign application No. 2001-200066 filed June 29, 2001 in Japan is claimed (35 U.S.C. §119).
  - ☐ A certified copy of the above corresponding foreign application(s) is filed herewith.
- ☐ This application is NOT to be published under 35 U.S.C. 112(b). The undersigned attorney or agent hereby certifies that the invention disclosed in this application has not been and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication at eighteen months after filing.
- ☒ The filing fee is calculated below:

**CLAIMS IN THE APPLICATION AFTER ENTRY OF  
 ANY PRELIMINARY AMENDMENT NOTED ABOVE**

| FOR:   | NO. FILED | NO. EXTRA |
|--|-----------|-----------|
| BASIC FEE  |           |           |
| TOTAL CLAIMS   | 40 - 20   | = 20      |
| INDEP CLAIMS   | 4 - 3     | = 1       |
| <input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS PRESENTED |           |           |

\* If the difference is less than zero, enter "0".


| SMALL ENTITY |           |
|--------------|-----------|
| RATE         | FEE       |
|              | \$ 370    |
| x 9 =        | \$        |
| x 42 =       | \$        |
| + 140 =      | \$        |
| <b>TOTAL</b> | <b>\$</b> |

OR  
OR  
OR  
OR  
OR  
OR

| OTHER THAN A<br>SMALL ENTITY |                |
|------------------------------|----------------|
| RATE                         | FEE            |
|                              | \$ 740         |
| x 18                         | \$ 360         |
| x 84                         | \$ 84          |
| + 280                        | \$             |
| <b>TOTAL</b>                 | <b>\$1,184</b> |

- ☒ Check No. 125960 in the amount of \$1,184.00 to cover the filing fee is attached. Except as otherwise noted herein, the Director is hereby authorized to charge any other fees that may be required to complete this filing, or to credit any overpayment, to Deposit Account No. 15-0461. Two duplicate copies of this sheet are attached.

Respectfully submitted,

  
 James A. Oliff  
 Registration No. 27,075

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 Registration No. 30,411

